

# Encoding the natural responses of primate retina



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## 1. Introduction

Can machine learning and neural networks help us model the eye's retina accurately? We explore 2 models: a **Linear Nonlinear Poisson (LNP)** model and a **CNN** to predict the neuronal response (spike trains) of 2 types of Retinal Ganglion cells (RGC) – ON & OFF RGCs, given visual stimuli.

## 2. Problem Formulation

For an RGC, given  $m$  training examples

$$\{x^{(i)}, y^{(i)}\}, i \in \{1, 2, \dots, m\}$$

### Stimulus movie:

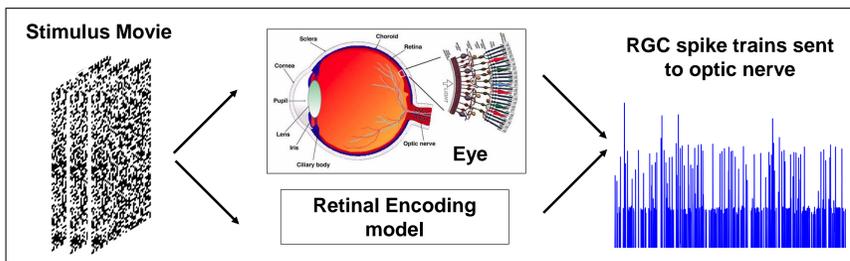
$x^{(i)} \rightarrow$  frame size  $L \times B$ , duration  $D$  ( $= N$  frames at refresh rate  $r$ )

### Observed spike train:

$y^{(i)} = 1\{t_j \text{ observed a spike}\}, j \in \{1, 2, \dots, D/res\}$

### Predict:

$\hat{y}$  for a test  $x_{(test)}$  to minimise  $err(y_{(test)}, \hat{y})$  for a reasonable error metric "err".



## 3. Data

Unique multi-electrode recordings from large ensembles of RGCs in macaque monkey retina, visually stimulated with movies of white noise, shared generously by the **Chichilnisky lab** at Stanford.

Gaussian binary white noise stimuli :- several 30s movies of (80 x 40) frame size with 120 Hz resolution .

For every RGC exposed to this, there is an output vector containing the cell spike times

A test movie stimulus is shown several times to obtain repeats of the cell responses. These are used to determine accuracy of prediction.

## 4. Linear Nonlinear Poisson Model

Consists of a linear block, followed by a non-linear estimate of the firing rate, subjected to a Poisson process for spike generation<sup>1,2</sup>.



## 5. Linear Block – STA

The Spike triggered average (STA) vector is given by

$$\omega = (\sum_D s_t f_t) / \sum_D f_t$$

$s_t \rightarrow$  fixed fraction of the stimulus preceding time bin  $t$

$f_t \rightarrow$  the number of spikes observed in time bin  $t$

Spatial structure of  $\omega \rightarrow$  neuron's **receptive field** that isolates region of visual space that the neuron is active to.

Temporal structure of  $\omega \rightarrow$  **impulse response** of the underlying linear summation

## 6. Nonlinear Block

Expected rate to the stimulus, is given by

$$R(s) = E[f | s] = \sum_f f P(f|s)$$

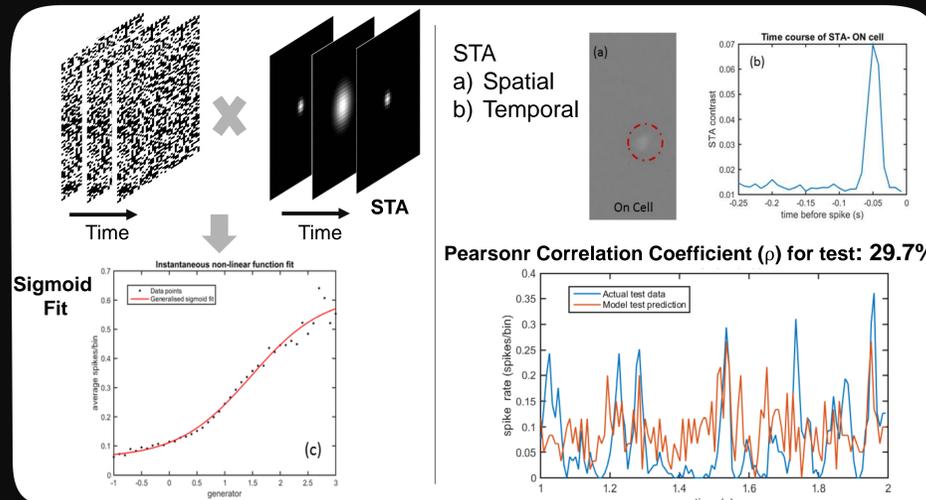
**Simplifying assumption:**  $R$  is a static non-linear function i.e.  $R(s) = N(g)$  where  $g = \omega \cdot s$  is the **generator signal**.

### Estimation of N:

averaging  $f_t$  across time bins that give rise to the same generator signal  $g_t$

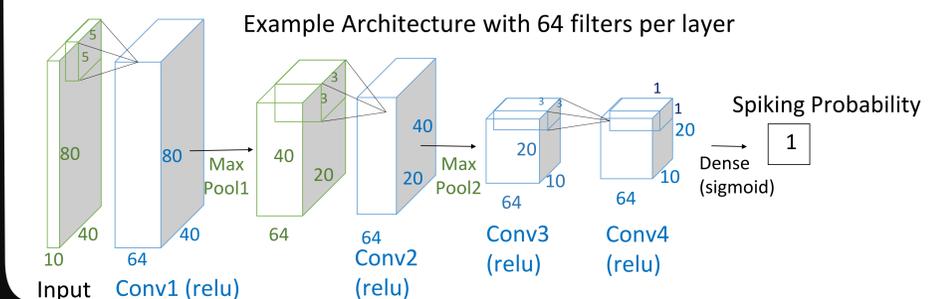
By plotting  $\bar{f}$  vs  $\bar{g}$  for different values, the nonlinear function  $N$  is estimated as a **sigmoid**.

## 7. Results



## 8. Deep Learning: CNN Architecture

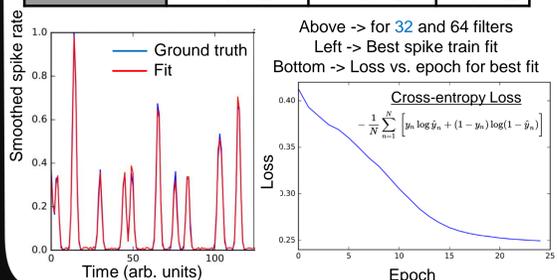
- Experimented with RNNs & CNNs, chose CNNs.
- Input:** 36k (6min) training examples,  $80 \times 40 \times 10$  timesteps.
- Output:** Single number that represents spiking probability, trained against Gaussian-convolved spike train (ground truth).



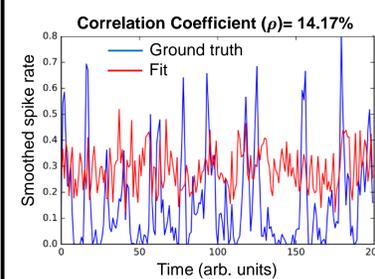
## 9. Deep Learning Results

Correlation coefficients for training data

Scale	Learning Rate (x1e-4)		
	10	5	1
0.2	0.98	0.993	0.44
	0.978	<b>0.996</b>	0.817
0.25	0.9795	0.991	0.478
	0.9845	0.935	0.355
0.3	0.989	0.947	0.368
	-0.012	-0.012	-0.012



Test data



Training data optimized hyper-parameters lead to  $\rho_{test} < 10\%$  due to overfitting.

Test data optimized hyper-parameters gives maximum  $\rho$  of 14.2%

## 10. Discussion and Future Work

- The simplistic LNP model shows better test results compared to the CNN when tuned for minimum training error.
- CNN model needs to be tuned further to minimize test error.
- Possible architecture improvements:
  - Add 3D convolution, including an LSTM layer in the end
  - Explore RNNs further.
  - Use a different RGC: literature shows<sup>3</sup> different RGCs give different  $\rho$  when trained on the same CNN model. It could be that we've used a neuron that has an intrinsically low  $\rho$ .

## References and Acknowledgements

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 [2] Chichilnisky EJ (2001) A simple white noise analysis of neuronal light responses. Comput. Neural Syst. 12, 199-213.  
 [3] L. Macintosh, N. Maheshwaran, CS231n Stanford Project Report, Deep Learning Model of the Retina, Winter 2015, Stanford  
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