



# Classifying Non-Manual Markers in American Sign Language

CS 229: Machine Learning

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## Task Definition:

In American Sign Language (ASL), facial expressions are grammatical. Only facial expressions distinguish whether the manual signs “HOME YOU” are a declarative sentence, a yes/no question, a negated sentence, or a command.

We evaluate the performance of three broad types of feature extraction, applied to a binary classification task. Given RGB video of signers’ faces, our system determines whether or not a *wh*-question (who, what, where, when, why, how) is being asked.

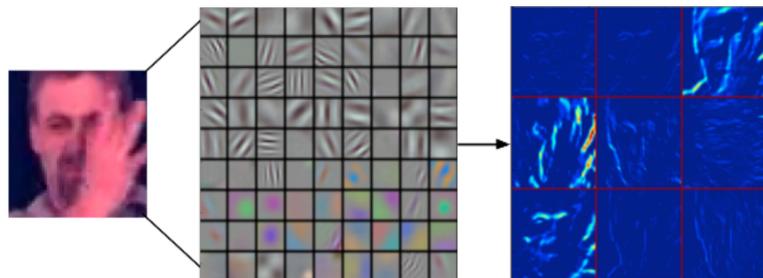


The non-manual marker for the *wh*-question “when”: head tilted, eyebrows lowered, eyes narrowed, lips drawn together.

Image is from ASLLRP data and is overlaid with IntraFace’s facial feature, head pose, and eye gaze tracking annotations.

## Data:

- Data from the National Center for Sign Language and Gesture Resources (NCSLGR) corpus (Neidle and Vogler, 2012): 172 utterances
- Frame-level: 7970 frames positive, 6076 negative
- Sequence-level (1.5 second): 240 positive, 123 negative
- Pre-Processing: Face bounding box recognition. Haar Cascades (Viola Jones Algorithm) extract face regions of interest from frames (Bradski, 2000), IntraFace extracts facial feature markers (Xiong & De la Torre, 2013)



Parameters fixed during pre-training represent a set of filters (middle) at each convolutional layer. Feeding image forward performs discrete convolution on input (left). Outputs from *first* conv. layer shown (right). Image from ASLLRP data, visualizations produced using Caffe software (Jia et. al, 2014).

## Feature Extraction:

### ➤ Theory Driven Methods

- **Human annotations: Best & Baseline**  
Frame-level prediction Jaccard similarity is 89% +/- 10% (best of 6 set ups). Features are heuristic (e.g., “body lean (forward/left)”, “head mvmt: nod (onset)”, “eye aperture (further squinted)”).

- **Intraface Markers: Can we perform as well without the overhead of human coding?**

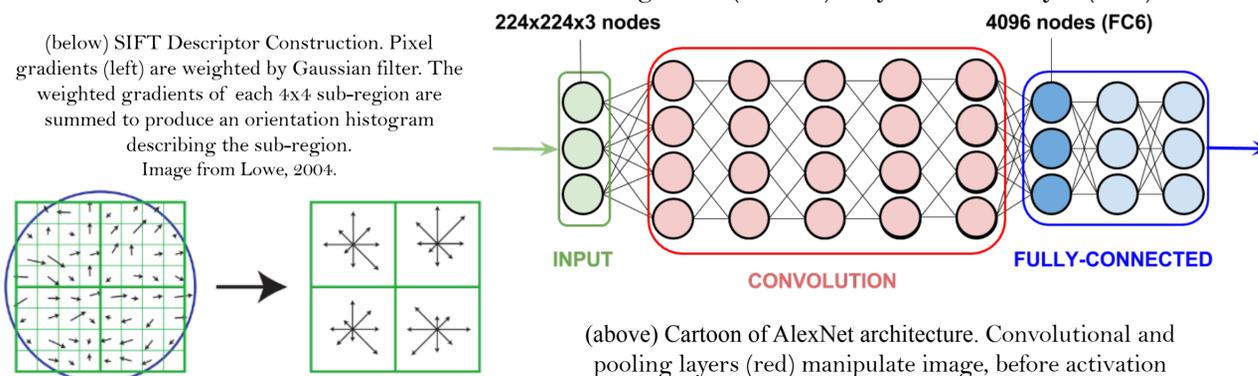
IntraFace extracts 89 human-interpretable facial features (28 facial landmarks, head pose, eye gaze and iris features). Best performance within bounds of human. Experimented with:

- Original features alone
- Adding facial manipulations through same-timestep landmark distance deltas
- Adding movement features through cross-timestep distance deltas

### ➤ Data Driven Methods

- **Convolutional Neural Network** (Pre-Trained “AlexNet”)

- **Idea:** Transform face images by passing them through deep net with general image knowledge. Take network outputs as mappings into feature space.
- **AlexNet:** Over 60-billion parameters, trained on 1.2-million images representing 1000 classes. Features 5 convolutional layers, 3 fully connected layers (Krishevsky, Sutskever, Hinton, 2012).
- 4096-dim. feature vectors drawn from most general (earliest) fully-connected layer (FC6).

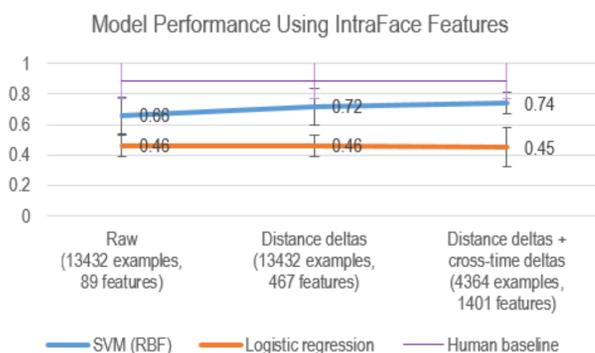


- **Scale-Invariant Feature Transform (SIFT)**

- **Keypoint Localization:** 25 pixel extrema found by searching image at multiple scales.
- **Descriptor Generation:** Gradient orientation computed at *each* pixel in region surrounding *each* keypoint. Binned to produce one 128-dim. vector for each keypoint (Lowe, 2004).
- **15-Means Codebook:** Unreliable keypoint localization in OpenCV (Bradski, 2000) created a setback. K-means was used to compress inconsistent keypoint descriptors into standard format.

### ➤ Hybrid Method: **SIFT Keypoint Localization with Intraface**

- Eliminates need for K-means encoding, due to Intraface’s precision



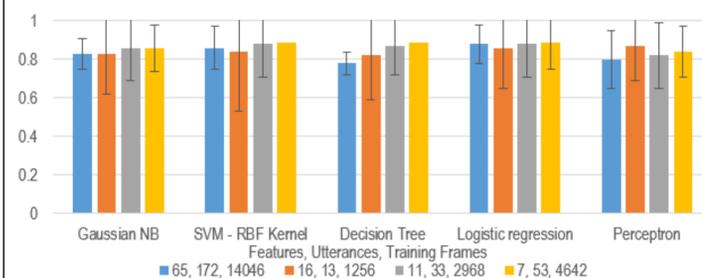
## Results:

- 10-fold cross validation, each utterance in either test (~10k) or train (~1200)

### Confusion:

Method	TN	FN	FP	TP
<b>Baseline</b>	85.6%	14.4%	13.1%	86.9%
• SVM: RBF Kernel				
• Accuracy: 0.86 (+/- 0.11)				
<b>Intraface</b>	67.4%	32.6%	19.1%	80.9%
• SVM: RBF Kernel				
• Accuracy: 0.74 (+/- 0.07)				
<b>AlexNet</b>	60.52%	39.47%	30.22%	69.77%
• SVM: Linear Kernel				
• Accuracy: 0.65 (+/- 0.04)				
<b>SIFT (Hybrid)</b>	21.46%	78.53%	14.15%	85.84%
• Logistic Regression				
• Accuracy: 0.59 (+/- 0.04)				

### Performance of Best Four Algorithms on Human-Generated Features



## Next Steps:

- Whole-Sequence Classification
  - Performance may further improve at sequence level
  - Use frames to “vote” for sequence class
- Unsupervised Methods
  - Clustering: Can performance be improved by using only frames where the face is forward? Are there patterns in non-manual marker usage?

## Select References:

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Krishevsky, A., Sutskever, I., & Hinton, G. E. (2012). ImageNet Classification with Deep Convolutional Neural Networks. In Advances in Neural Information Processing Systems 25.

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